

COMPLIANCE

Helping companies meet their compliance and risk management needs.



LAWGISTIC

Perfecting the Profession

More than three-quarters of company executives in Latin America say their country's anti-corruption laws are ineffective. Headline bribery investigations are driving corporate compliance concerns.

The combination of increased regulatory scrutiny and enforcement, new legislative requirements, and persisting demands for greater corporate vigilance, risk management and governance, has expanded the range of compliance issues that companies must monitor, influence and comply with.

Organizations that adopt a more practical and effective cross-regulatory approach and business strategy to managing compliance will alleviate the increasing burdens, as well as associated costs and complexities, which could affect corporate performance in the form of legal action, fines and penalties, or adverse impacts on a company's reputation.

Lawgistic helps companies meet their compliance and risk management needs.

HOW CAN WE HELP?

Our compliance framework provides a broad range of services and solutions:

Strategic guidance and design services:

We help ensure that your organization is designed to manage and address its compliance risks in a proactive and systematic fashion.

Operational solutions:

We help outline specific steps needed for compliance improvement. We assist with the day-to-day implementation on a broad range of compliance solutions, such as crafting policies and procedures, developing training and creating communication plans to disseminate pertinent information, policy updates, and foster a culture of compliance.

Assessment and evaluation services:

We perform assessments of both compliance systems and actual program performance, taking a holistic approach in reviewing our client's internal programs.

COMPLIANCE SERVICES

Risk assessment

- Review of Pre-existing Programs
- Compliance integrity audit
- Compliance and ethics program design assessment
- Review of Current State of Affairs
- Process maturity assessment
- Third party risk review/ due-diligence
- Prescriptions for Future/ Business Improvements Compliance risk assessment

Strategic Guidance and Design

- Governance
- Oversee corporate structures
- Policies and procedures
- Organizational design and integration of compliance and ethics into business processes
- Clarify roles and responsibilities
- Business metrics and performance management
- Compliance infrastructure design (training, whistle-blower hot lines and plan of escalation for investigations)

Prevention and Remediation services

- Development & Educational services
- Sessions on common compliance topics/ common risk factors and red-flags
- Workshops & training
- Cultural survey and evaluation services

Anti-Corruption Compliance Support

While many appreciate the importance of understanding and complying with global, regional and local anti-bribery and corruption laws, it is often difficult to put in place the right compliance policies and processes to shield your business with limited internal resources.

In order to operate effectively across one or multiple jurisdictions, organizations need to adapt a cross-regional approach to ensure that they have policies, processes and reporting in place to demonstrate a robust anti-corruption compliance program and to educate staff and third parties.

Lawgistic offers a multi-jurisdictional anti-corruption and compliance support service to help companies relieve the burden on in-house compliance officers and reduce the costs of coping with compliance challenges when doing business.

We can support your business by:

Reviewing current anti-corruption compliance programs, policies and procedures.

Developing an action plan to meet regulatory requirements.

Providing compliance training to employees and third parties.